

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wataru Ikeda et al.

Serial No.: 10/528,969

Filed: March 23, 2005

For: REPRODUCTION DEVICE, OPTICAL  
DISC, RECORDING MEDIUM,  
PROGRAM, REPRODUCTION METHOD

Patent Examiner:

Group Art Unit:

September 30, 2005

Costa Mesa, California 92626

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 USC 371**

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 USC 371 mailed 08/01/2005, enclosed is the executed oath for filing in the above-identified case. Also, attached is a copy of the 371 Formalities Letter and an Information Disclosure Statement along with form PTO 820 and copies of references.

Please charge the surcharge of \$130.00 for late filing of this oath to our deposit account No. 19-2814.

If there are any questions with regard to this matter, please do not hesitate to contact the undersigned attorney.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on September 30, 2005 by Candy Neu



Signature

Date of Signature: September 30, 2005

. Very truly yours,

SNELL & WILMER LLP



Ketan S. Vakil, Reg. No. 43,215  
600 Anton Boulevard, Suite 1400  
Costa Mesa, CA 92626-7689  
Tel: 714-427-7405  
Customer No. 52044



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/528,969	Wataru Ikeda	92478-1800
INTERNATIONAL APPLICATION NO.		PCT/JP03/12127
IA. FILING DATE		PRIORITY DATE
09/24/2003		09/25/2002
CONFIRMATION NO. 9145		
371 FORMALITIES LETTER		
 *OC000000016658574*		

Date Mailed: 08/01/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2005
- English Translation of the IA filed on 03/23/2005
- Copy of the International Search Report filed on 03/23/2005
- Preliminary Amendments filed on 07/20/2005
- Information Disclosure Statements filed on 07/20/2005
- Oath or Declaration filed on 03/23/2005
- U.S. Basic National Fees filed on 03/23/2005
- Priority Documents filed on 03/23/2005
- Specification filed on 03/23/2005
- Claims filed on 03/23/2005
- Abstracts filed on 03/23/2005
- Drawings filed on 03/23/2005

RESPONSE DUE 10-1-05  
 ACTION Oath + Fee

**R E C E I V E D**  
 AUG 10 2005

**SNELL & WILMER**

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

---

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

---

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,969	PCT/JP03/12127	92478-1800

FORM PCT/DO/EO/905 (371 Formalities Notice)